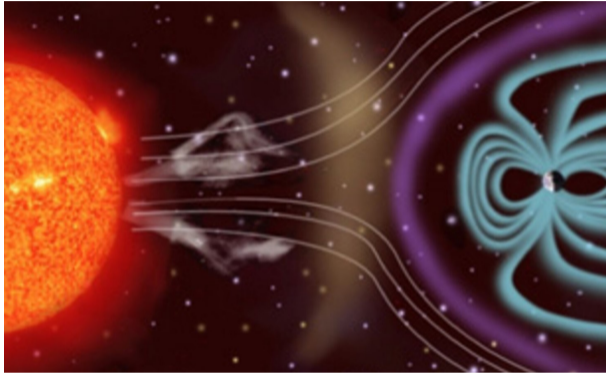
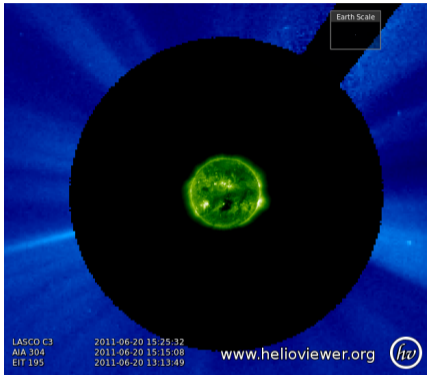


# Influence of solar wind parameters on unsupervised solar wind classification

S. Teichmann <sup>1</sup>, V. Heidrich-Meisner <sup>2</sup>, R. Wimmer-Schweingruber <sup>2</sup>  
<sup>1</sup> *Georg-August-Universität Göttingen*, <sup>2</sup> *Christian-Albrechts Universität zu Kiel*

ML Helio  
23.03.2022

# Solar wind and solar wind classification



**Figure:** Left: Instruments on SOHO (Solar and Heliospheric Observatory): LASCO (Large Angle and Spectrometric Coronagraph) and EIT (Extreme ultraviolet Imaging Telescope), Right: Schematic display of the Sun, solar wind and Earth by ESA [2]. Visualisation of the 3 motivation for solar wind classification: understanding the origin, transport effects and space weather.

# Solar wind classification - transport effects

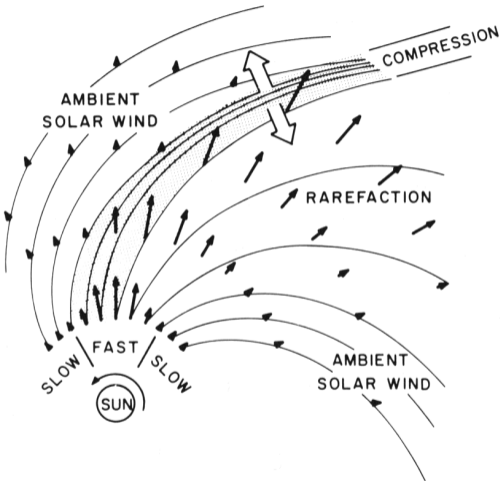


Figure: Visualisation of Stream interaction regions by Jian [3]

# Parameters

density ( $n_{sw}$ ) mean density of protons in the solar wind

velocity ( $v_{sw}$ ) mean velocity of protons in the solar wind

temperature ( $T_{sw}$ ) mean temperature of protons in the solar wind

magnetic field ( $B$ ) absolute value of the magnetic field

collisional age ( $a_{col}$ ) number of collisions in the plasma

oxygen ions ( $n_{O^{7+}}/n_{O^{6+}}$ ) ratio between the densities  $O^{7+}$  and  $O^{6+}$

Iron ions ( $q_{Fe}$ ) mean charge state of iron

instruments on ACE:

- Solar Wind Electron Proton and Alpha Monitor (**SWEPAM**)
- Solar Wind Ion Composition Spectrometer (**SWICS**)
- Magnetometer (**MAG**)

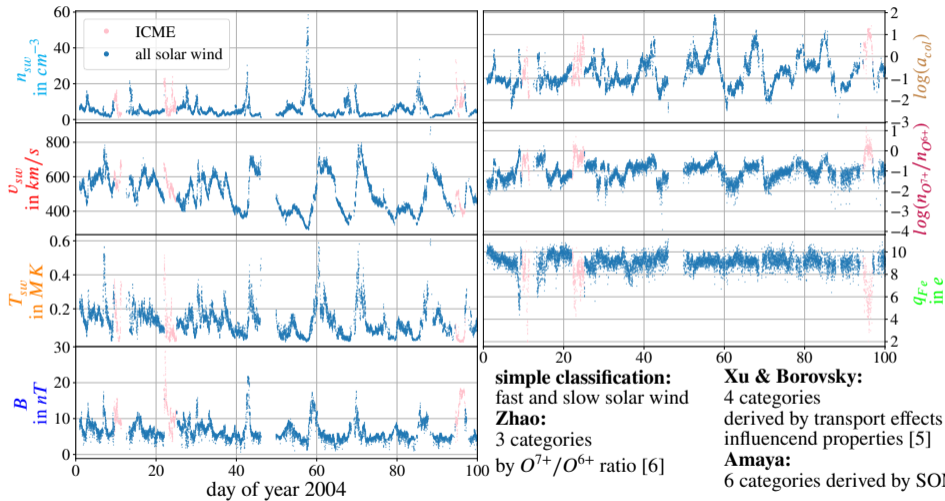
timeframe : 2001-2011

number of points in dataframe: 258574 (with ICME: 282231)



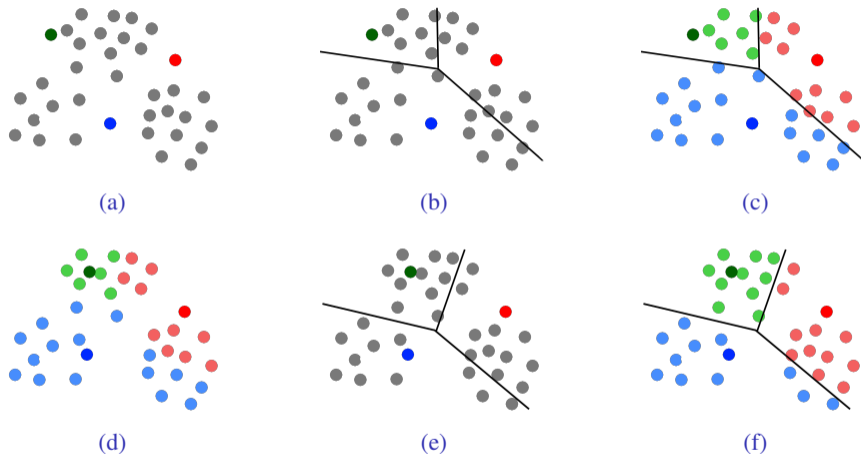
Figure: Logo of the Ace Mission [4]

# Existing classifications



⇒ transport effect vs. origin based classifications

# How it's done: k-means clustering



**Figure:** Visualisation of k-means clustering by Heidrich-Meisner. Implementation: sklearn version 0.23.2 in python version 3.9.2

# How to choose k or on the number of solar wind types

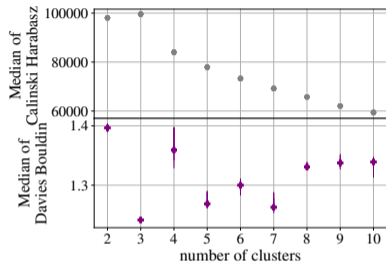
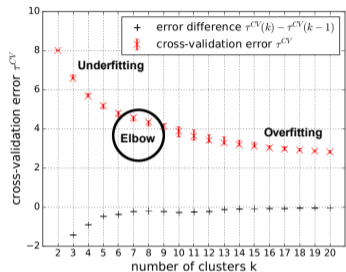
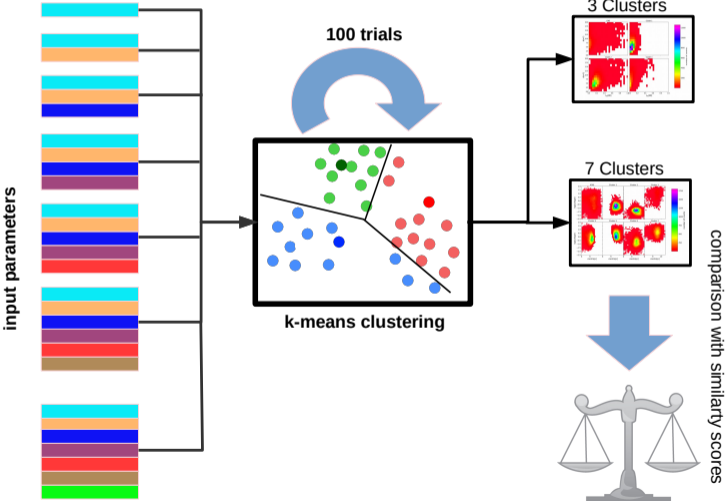


Figure: Left: Elbow plot by Heidrich-Meisner on the same dataset. Right: Elbow plot based on the experimental settings

- ⇒ resulting cluster are not convex
- ⇒ **k=3** and **k=7**

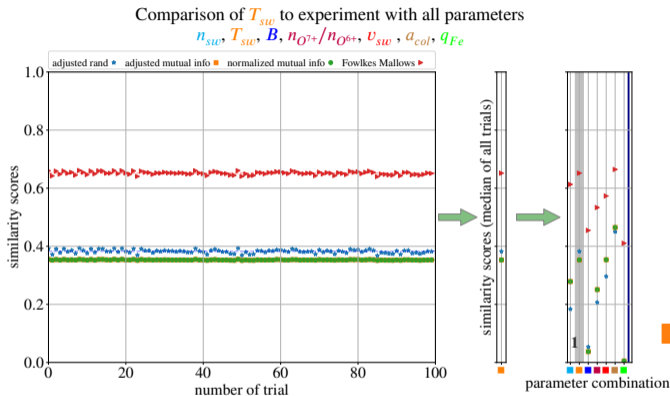
- **Cross validation error:** inner cluster distance
- **Calinski Harabasz score:** sum of between-clusters dispersion and of within-cluster dispersion
- **Davies Bouldin score:** average similarity between clusters

# The experiment: variation of input parameters





# How to evaluate the results



**Figure:** Schematic demonstration how the results for each parameter combination is evaluated on the example of  $T_{sw}$ .

▶ **Fowlkes Mallows score:**  
ranges from 0 to 1 based on statistical errors ( true positive ...)

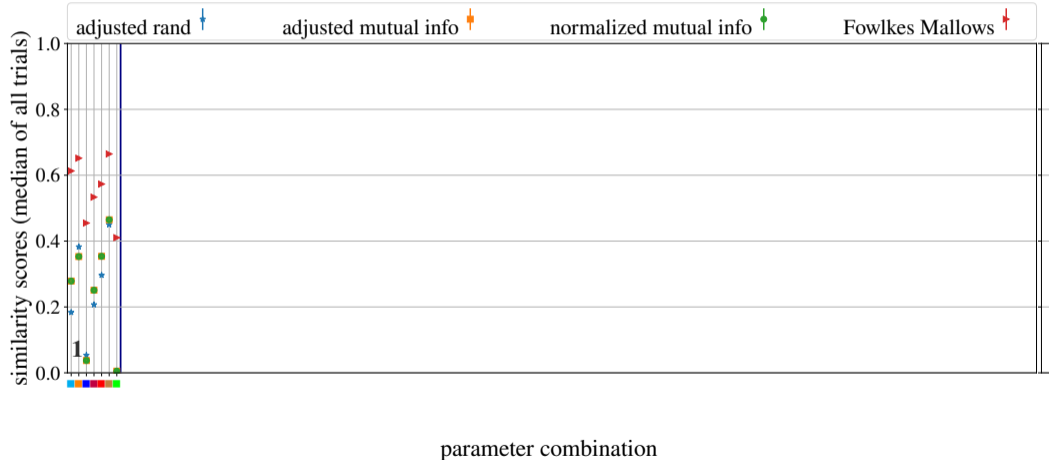
★ **Adjusted rand score:**  
ranges from -1 to 1 counting pairs that are the same and differently labelled

■ / ● **Mutal information score:**  
**adjusted** and **normalized**, ranges from 0 to 1, shared information of two clusterings & if adjusted for chance effects

# 3 Clusters - overview of the results

Comparison of all parameter combinations to experiment with all parameters

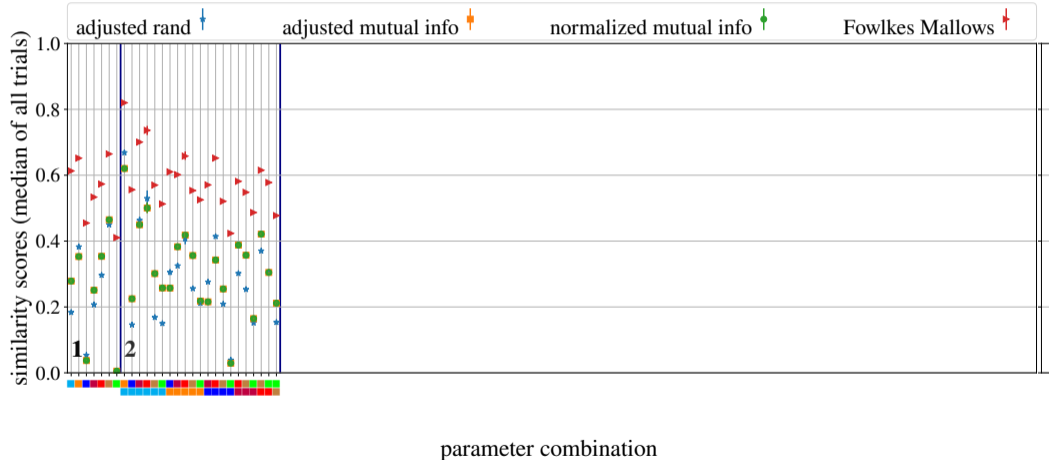
$n_{sw}$ ,  $T_{sw}$ ,  $B$ ,  $n_{O^{7+}}/n_{O^{6+}}$ ,  $v_{sw}$ ,  $a_{col}$ ,  $q_{Fe}$



# 3 Clusters - overview of the results

Comparison of all parameter combinations to experiment with all parameters

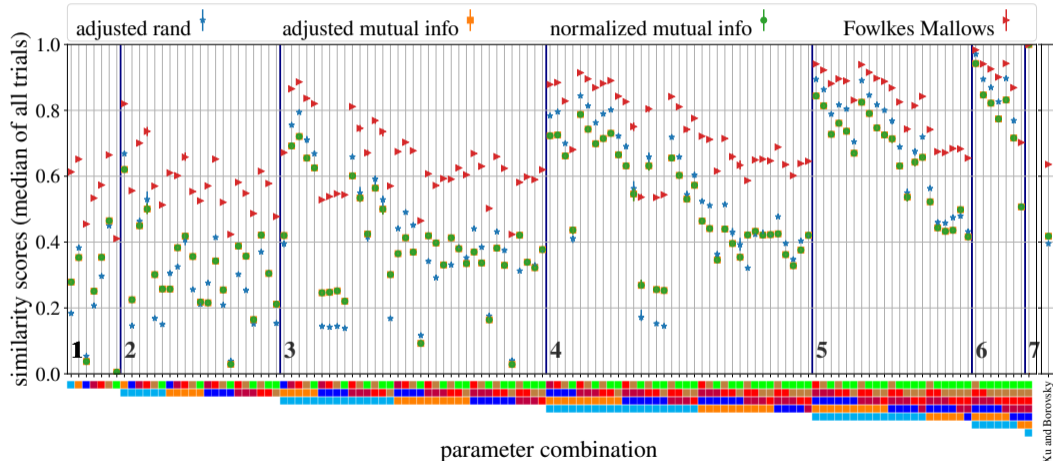
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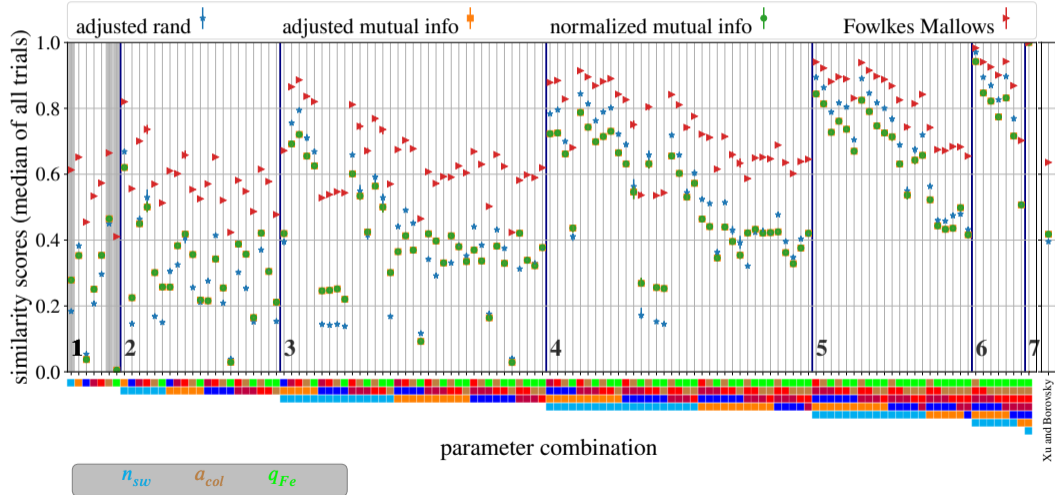
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# 3 Clusters - overview of the results

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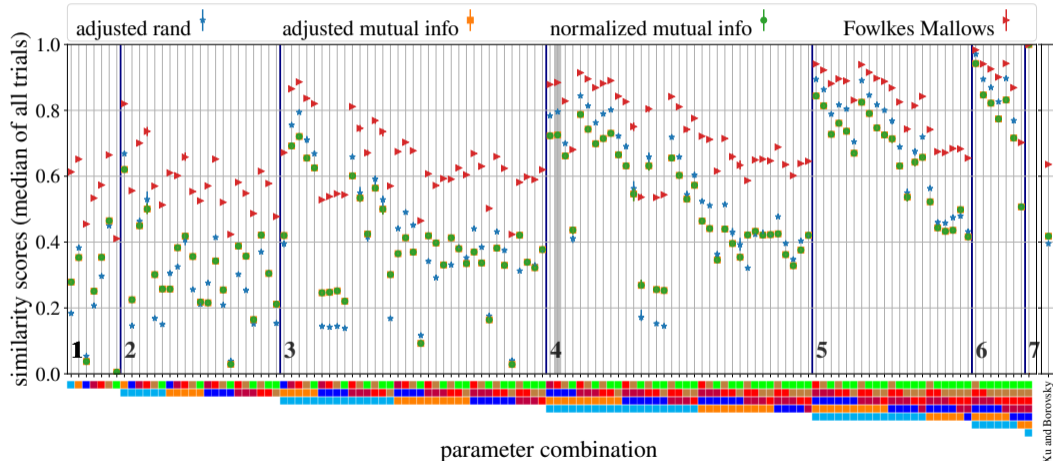
$n_{sw}$ ,  $T_{sw}$ ,  $B$ ,  $n_{O^{7+}}/n_{O^{6+}}$ ,  $v_{sw}$ ,  $a_{col}$ ,  $q_{Fe}$



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Comparison of all parameter combinations to experiment with all parameters

$n_{sw}$ ,  $T_{sw}$ ,  $B$ ,  $n_{O^{7+}}/n_{O^{6+}}$ ,  $v_{sw}$ ,  $a_{col}$ ,  $q_{Fe}$

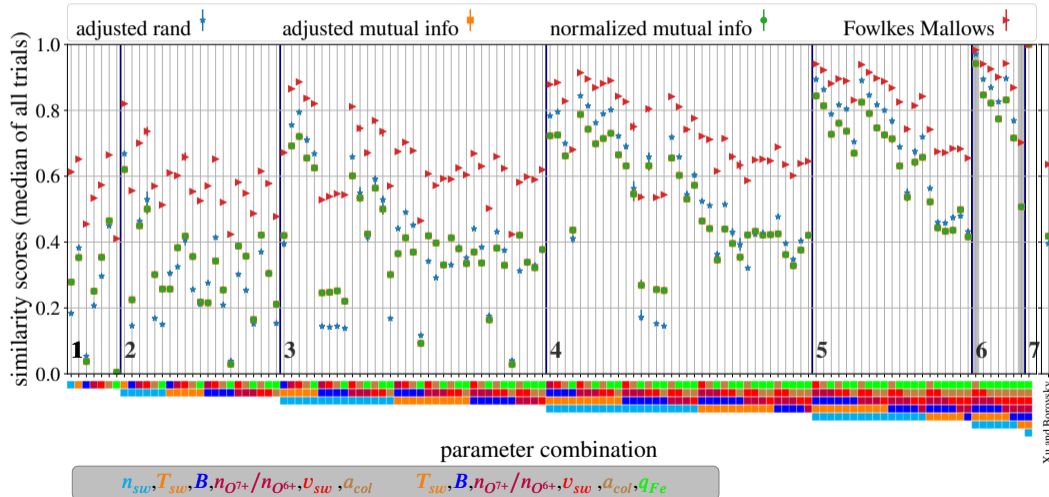


$n_{sw}$ ,  $T_{sw}$ ,  $B$ ,  $v_{sw}$

# 3 Clusters - overview of the results

Comparison of all parameter combinations to experiment with all parameters

$n_{sw}$ ,  $T_{sw}$ ,  $B$ ,  $n_{O^{7+}}/n_{O^{6+}}$ ,  $v_{sw}$ ,  $a_{col}$ ,  $q_{Fe}$



Xu and Borovsky

# How to choose k or on the number of solar wind types

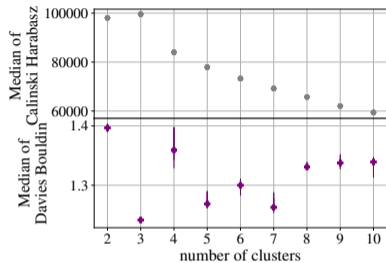
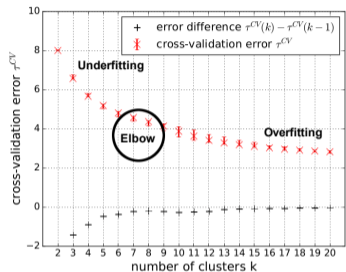


Figure: Left: Elbow plot by Heidrich-Meisner on the same dataset. Right: Elbow plot based on the experimental settings

⇒ resulting cluster are not convex

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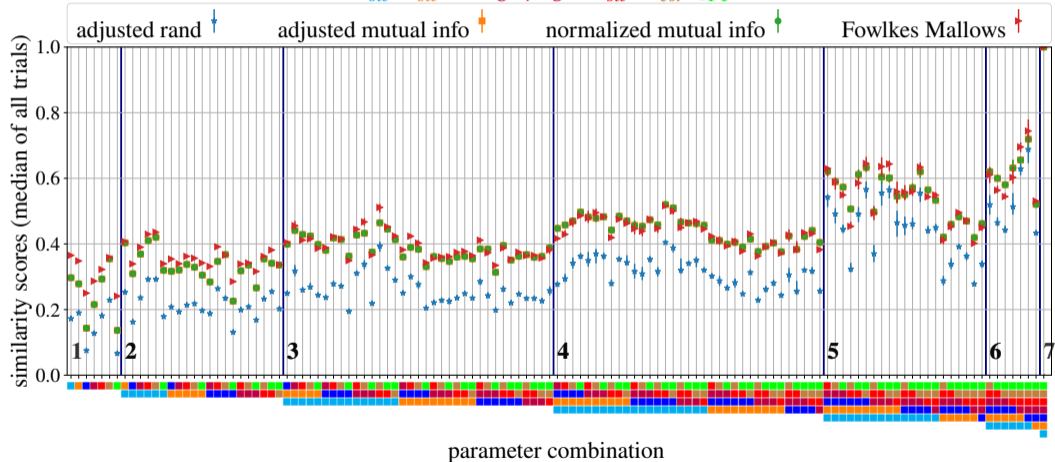
- **Cross validation error:** inner cluster distance
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# 7 Clusters - overview of the results

Comparison of all parameter combinations to experiment with all parameters

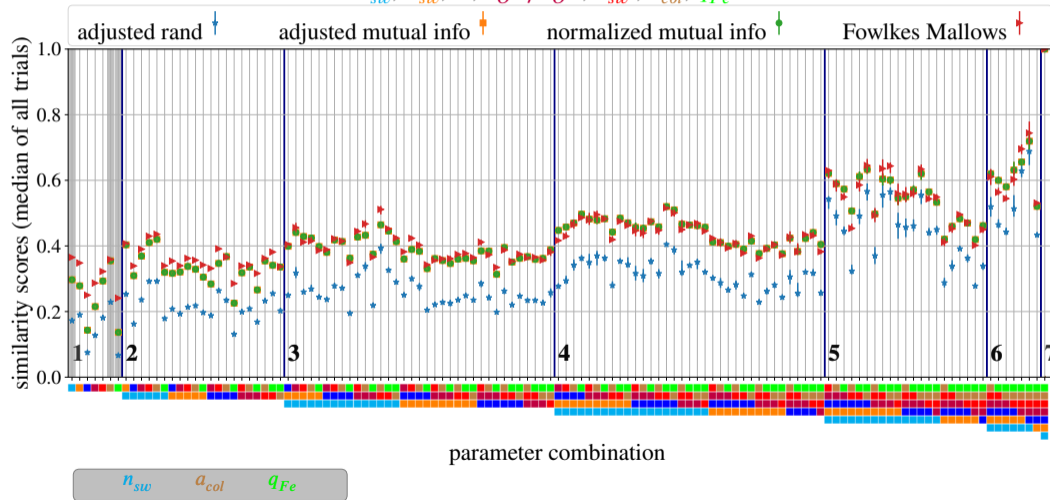
$n_{sw}$ ,  $T_{sw}$ ,  $B$ ,  $n_{O^{7+}}/n_{O^{6+}}$ ,  $v_{sw}$ ,  $a_{col}$ ,  $q_{Fe}$



# 7 Clusters - overview of the results

Comparison of all parameter combinations to experiment with all parameters

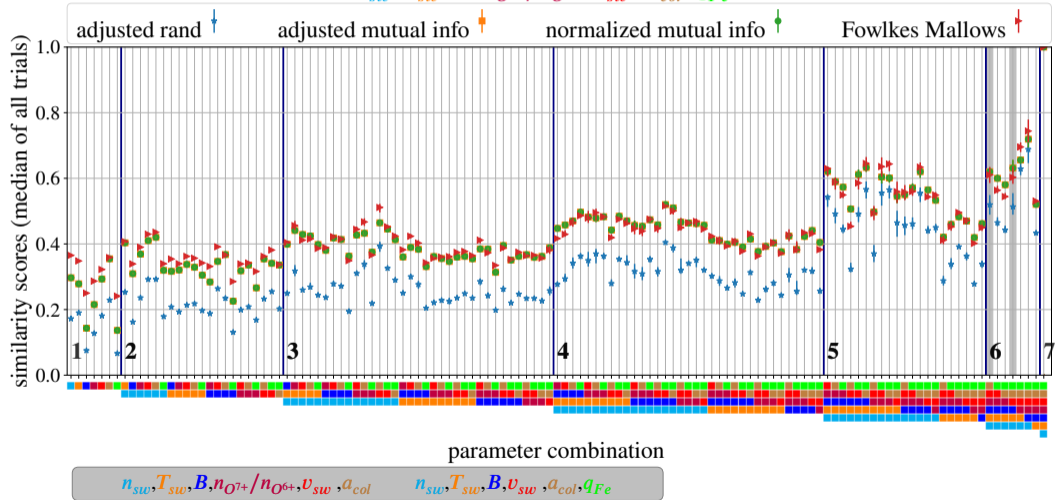
$n_{sw}$ ,  $T_{sw}$ ,  $B$ ,  $n_{O^{7+}}/n_{O^{6+}}$ ,  $v_{sw}$ ,  $a_{col}$ ,  $q_{Fe}$



# 7 Clusters - overview of the results

Comparison of all parameter combinations to experiment with all parameters

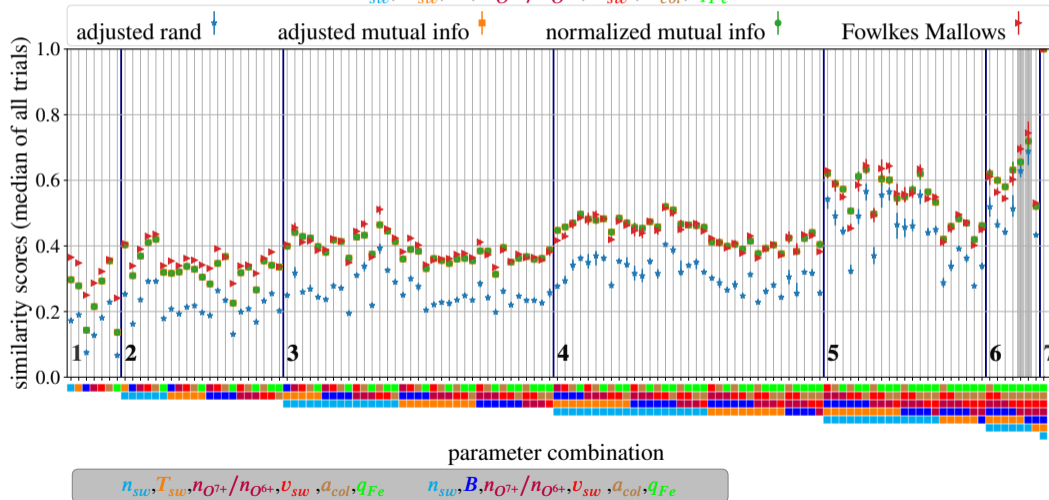
$n_{sw}, T_{sw}, B, n_{O^{7+}}/n_{O^{6+}}, v_{sw}, a_{col}, q_{Fe}$



# 7 Clusters - overview of the results

Comparison of all parameter combinations to experiment with all parameters

$n_{sw}, T_{sw}, B, n_{O7+}/n_{O6+}, v_{sw}, a_{col}, q_{Fe}$



# Summary of the results

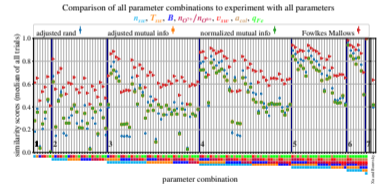
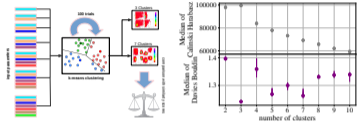
## General results from k-means

- more than 3 different types are needed to differentiate between origin based and transport effects
- for 3 Clusters slow solar wind, fast solar wind and compression regions are identified

## Conclusion

- $n_{sw}$  is the most important parameter for classification
- charge states (especially  $q_{Fe}$ ) are needed for detailed classification

⇒ transport effects should be considered for detailed clustering!



# Thank you for your attention & please ask questions !

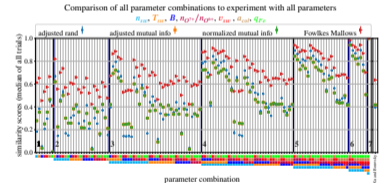
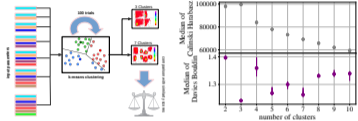
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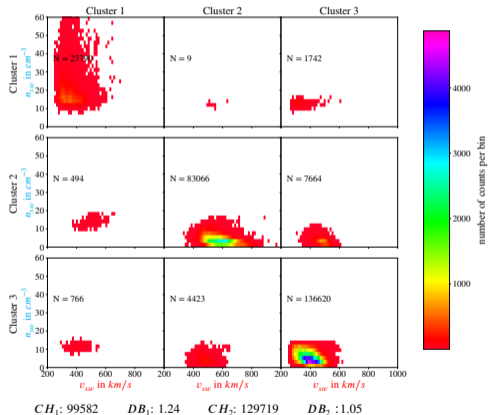
-  J. Amaya, R. Dupuis, M. E. Innocenti, and G. Lapenta.  
Visualizing and interpreting unsupervised solar wind classifications.  
*Frontiers in Astronomy and Space Sciences*, 7:66, 2020.
-  ESA.  
Der sonnenwind erzeugt direkte und indirekte effekte auf der erde, 2002.
-  L. Jian, C. Russell, J. Luhmann, and R. Skoug.  
Properties of stream interactions at one au during 1995–2004.  
*Solar Physics*, 239(1):337–392, 2006.
-  space.  
Ace logo, 2022.

-  F. Xu and J. E. Borovsky.  
A new four-plasma categorization scheme for the solar wind.  
*Journal of Geophysical Research: Space Physics*, 120(1):70–100, 2015.
-  L. Zhao, T. Zurbuchen, and L. Fisk.  
Global distribution of the solar wind during solar cycle 23: Ace observations.  
*Geophysical Research Letters*, 36(14), 2009.

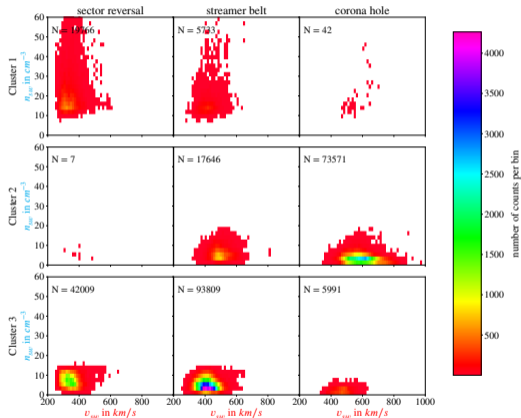


# 3 Cluster - First results

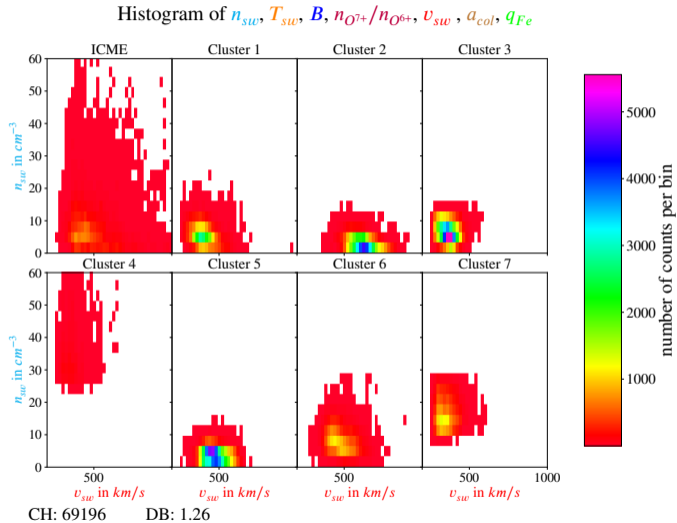
Shared data between  $n_{sw}$ ,  $T_{sw}$ ,  $B$ ,  $n_{O^{++}}/n_{O^{+}}$ ,  $v_{sw}$ ,  $a_{col}$ ,  $q_{Fe}$   
and  $n_{sw}$ ,  $T_{sw}$ ,  $B$ ,  $v_{sw}$  of trial 0



All shared data with Xu & Borovsky of trial 0  
from  $n_{sw}$ ,  $T_{sw}$ ,  $B$ ,  $n_{O^{++}}/n_{O^{+}}$ ,  $v_{sw}$ ,  $a_{col}$ ,  $q_{Fe}$



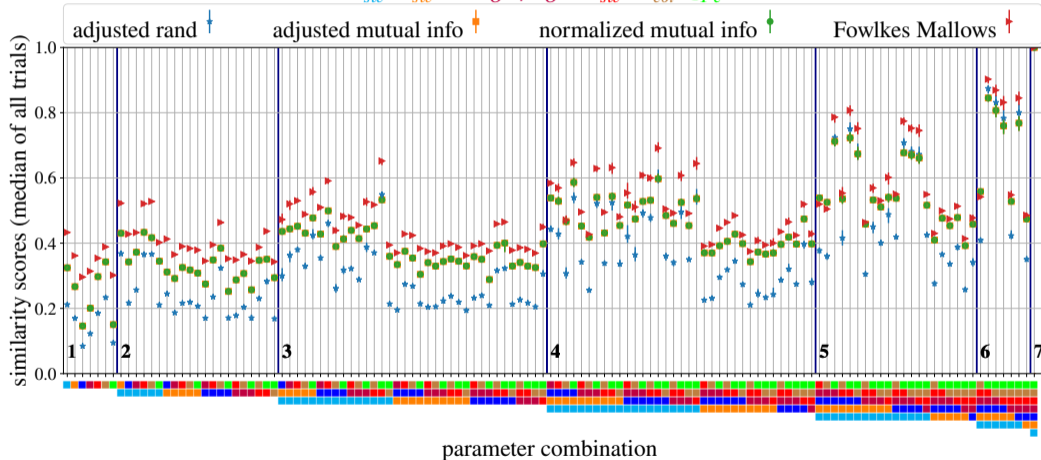
# 7 Cluster



# 6 Cluster

Comparison of all parameter combinations to experiment with all parameters

$n_{sw}$ ,  $T_{sw}$ ,  $B$ ,  $n_{O7+}/n_{O6+}$ ,  $v_{sw}$ ,  $a_{col}$ ,  $q_{Fe}$



# 10 Cluster

Comparison of all parameter combinations to experiment with all parameters

$n_{sw}$ ,  $T_{sw}$ ,  $B$ ,  $n_{O7+}/n_{O6+}$ ,  $v_{sw}$ ,  $a_{col}$ ,  $q_{Fe}$

